

Atty Docket No. 24743-2307US
(formerly:14-020210US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KECK et al

Serial No.: 09/601,997

Group Art Unit: 1645

Filed: December 16, 2000

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Examiner: Not Yet Assigned

For: NONBACTERIAL CLONING IN DELIVERY AND EXPRESSION OF NUCLEIC ACIDS

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. BOX 2327
Arlington, VA 22202

Sir:

HK PHARMACEUTICALS, INC., a corporation organized under the laws of Delaware, having a principal business address of 6190 Cornerstone Court East, San Diego, California 92121, is an Assignee of the entire right, title and interest in the above-captioned patent by virtue of an Assignment from the Assignee, GENETRACE SYSTEMS, INC., who was formerly the Assignee of the entire right, title and interest in the above-captioned patent application by virtue of an assignment of the entire right, title and interest in the above referenced application, from the former assignee STRATA BIOSCIENCES, INC., who was formerly the Assignee of the entire right, title and interest in the above referenced application by virtue of an assignment by all named applicants.

A copy of the assignment of the above referenced application from all named applicants to the former Assignee, STRATA BIOSCIENCES, INC. has been recorded by the United States Patent and Trademark Office, under Reel 011254 and Frame 0703 a copy is provided herewith. A copy of the executed Assignment in the present application from the former Assignee STRATA BIOSCIENCES, INC. to the Assignee GENETRACE SYSTEMS, INC has been recorded with the U.S. Patent and Trademark Office under Reel 011252 and Frame 0355. A copy of an assignment document from GENETRACE SYSTEMS, INC to the present assignee HK PHARMACEUTICALS, INC. has been submitted for recordation to the United States Patent and Trademark Office, a copy of the request for recordation and executed assignment is provided herewith.



Atty Docket No. 24745-2307US
(formerly:14-020210US)

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 9/12/02

HK PHARMACEUTICALS, INC.

Daniel P. Little

Daniel P. Little, President

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Form PTO-1595

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying party(ies):
GENETRACE SYSTEMS, INC.
(Dr. Joseph Monforte)

2. Name and address of receiving Party(ies)
HK PHARMACEUTICALS, INC.
6190 Cornerstone Court East
San Diego, California 92121

Additional name(s) of conveying
party(ies) attached? Yes No

Additional name(s) & address(es)
attached? Yes No

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3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of name
 Other

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Execution Date: GENETRACE SYSTEMS, INC. (Dr. Joseph Monforte): 03/13/2002

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):
09/601,997

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Stephanie L. Seidman
HELLER EHRLMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive
7th Floor
San Diego, CA 92122-1246

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00
 Check enclosed
 Authorized to charge any underpayment to deposit account

8. Deposit account Number: 50-1213

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephanie L. Seidman
Name of Person Signing
Registration No. 33,779

Signature

04/10/2002

Date

Total number of pages including cover sheet, attachments, and document: 3

A S S I G N M E N T

GENETRACE SYSTEMS, INC., a corporation of the State of California, having a principal business address of 1500 Park Avenue, Suite 134, Emeryville, California 94608 (hereinafter Assignor), for good and valuable consideration, have assigned and do hereby assign to HK PHARMACEUTICALS, INC., a corporation organized under the laws of Delaware, having a principal business address of 6190 Cornerstone Court East, San Diego, California 92121 (hereinafter Assignee), its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter disclosed in the U.S. Patent Application No. 09/601,997 entitled:

**NON-BACTERIAL CLONING IN DELIVERY AND EXPRESSION
OF NUCLEIC ACIDS**

which was filed on December 15, 2000; and in and to all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter, including the right to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise and, in and to, all Letters Patent to be obtained for said subject matter by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(b) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent.

Assignor agrees to do all acts and sign all documents necessary to secure, defend and maintain all said Letters Patent and rights, including any continuations, divisionals, reissues, renewals and extensions, and request issuance of all said Letters Patent to the above Assignee in accordance with this assignment.

DATE:

3/13/02


Genetrace Systems Inc.
Dr. Joseph A. Monforte
President

WITNESSED:

DATE:

3/13/02


[SIGNATURE OF WITNESS]

Tiffany Truman
[PRINTED NAME OF WITNESS]

WITNESSED:

DATE:

3/13/02


[SIGNATURE OF WITNESS]

Byung-in Lee
[PRINTED NAME OF WITNESS]